

Docket No. 249958US2SDIV

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Koji MARUYAMA et al.

SERIAL NO: NEW APPLICATION

GAU:

FILED: HEREWITH

EXAMINER:

FOR: INFORMATION RECORDING MEDIUM, METHOD FOR RECORDING INFORMATION, AND METHOD FOR REPRODUCTION INFORMATION

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references were provided in previously filed U.S. Application Nos. 09/233,027, filed January 20, 1999, 10/125,599, filed April 19, 2002, and 10/175,402, filed June 20, 2002.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

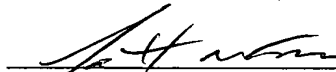
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. <b>249958US2S DIV</b>		SERIAL NO. <b>New DIV Application</b>	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT <b>Koji MARUYAMA, et al.</b>			
				FILING DATE <b>HEREWITH</b>		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,148,138	11/14/2000	Sawabe et al.			
	AB	5,546,191	11/19/1996	Hibi et al.			
	AC	5,576,950	11/19/1996	Tonomura et al.			
	AD	6,006,007	12/21/1999	Honjo			
	AE	5,819,004	10/1998	Azadegan et al.			
	AF	5,701,385	12/1997	Katsuyama et al.			
	AG	5,966,352	10/1999	Sawabe et al.			
	AH	6,424,793	07/2002	Setogawa et al.			
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES                      NO		
	AO	3-183091	8/9/91	Japan			X
	AP	7-250300	09/26/1995	Japan	x		
	AQ	9-259573	10/03/97	Japan (corresponds to U.S. Pat. No. 5,966,352)			x
	AR	64-066839	03/13/1989	Japan (English Abstract Only)			x
	AS	3-183091	08/09/1991	Japan (English Abstract Only)			x
	AT	8-111843	04/1996	Japan			
	AU	8-163507	06/1996	Japan			
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW	Explanation of Circumstances Concerning Accelerated Examination: AD 00202744 (for Japanese Patent Application No. 2002-158118)					
	AX	Explanation of Circumstances Concerning Accelerated Examination: AD09800092 (for Japanese Patent Application No. 1998-009903)					
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							